Primordial Gravitational Waves From Cosmic Inflation

Shaaban Khalil

Center for Fundamental Physics Zewail City of Science and Technology

April 22, 2025

1 Introduction to Gravitational Waves (GW)

2 GW From Inflaton Quantum Fluctuations

3 GW Production From Decy of Inflaton

GW Production During Reheating

5 Conclusions

- The direct detection of gravitational waves (GWs) by the LIGO and Virgo collaborations marked a major milestone in cosmology, launching the era of gravitational wave astronomy.
- ▶ The first observed event, originating from the merger of two $\sim 30 M_{\odot}$ black holes, demonstrated the existence of astrophysical sources of GWs and opened a new window for probing the universe.
- Beyond astrophysical sources, gravitational waves can also originate from the early universe. In particular, Primordial GWs are a fundamental prediction of inflationary cosmology.
- ▶ These relic GWs carry imprints of the universe's earliest moments, encoding information about inflation, high-energy physics, and processes beyond the Standard Model.
- Several mechanisms can generate primordial gravitational waves:
 - Quantum fluctuations during inflation.
 - Graviton production during the reheating phase.
 - First-order phase transitions after inflation.

These processes provide key insights into the dynamics of the early universe and the underlying physics driving its evolution.

Astrophysical Gravitational Waves

- Gravitational waves can be generated by astrophysical sources such as merging black holes, neutron stars, and other compact objects.
- These waves are produced by the acceleration and interaction of massive bodies in strong gravitational fields.
- Among the most prominent sources are binary black hole mergers, which have been observed multiple times by detectors like LIGO and Virgo.
- In the first detection, two black holes with masses of 36 M_☉ and 29 M_☉ merged to form a single black hole of 62 M_☉. The remaining ~ 3 M_☉ was emitted as gravitational radiation.



These tiny ripples in spacetime traveled billions of years before reaching Earth, where they were finally detected, confirming a key prediction of general relativity.

Primordial Gravitational Waves:

- Generated in the early universe, typically during or shortly after cosmic inflation.
- They carry unique information about the universe's origin and fundamental physics at high energies.

Stochastic Gravitational Waves:

- Background of GWs covering the entire universe.
- Generated by the superposition of many unresolved sources, such as cosmic strings, phase transitions, and inflationary dynamics.



▶ The Big Bang and cosmic inflation are key processes believed to generate stochastic gravitational waves through a variety of random, early-universe phenomena.

Gravitational Waves and Linearized Gravity

- Gravitational waves are naturally described within the framework of linearized gravity, which provides the simplest and most tractable approach to studying perturbations in an expanding universe.
- Einstein field equations:

$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = 8\pi G T_{\mu\nu}$$

▶ Consider perturbations around a spatially flat FLRW (homogeneous and isotropic) background:

$$ds^2=-dt^2+a(t)^2(\delta_{ij}+h_{ij})dx^idx^j, \quad h_{ij}\ll 1$$

- ▶ The tensor perturbation h_{ij} satisfies: $h_i^i = 0$, $\partial_i h_{ij} = 0 \Rightarrow$ Transverse-traceless (TT) gauge \Rightarrow two polarization states: + and ×
- ► Equation of motion for gravitational waves: $\ddot{h}_{ij} + 3H\dot{h}_{ij} + k^2h_{ij} = 16\pi G \Pi_{ij}^{TT}$
- ▶ Homogeneous solution: Gravitational waves sourced by quantum vacuum fluctuations ⇒ production of gravitons in an expanding universe.
- ▶ Inhomogeneous solution: Gravitational waves sourced by anisotropic stress from matter fields: $\Pi_{ij}^{TT} \propto$ [scalars, vectors, fermions, tensors]



Gravitational Waves From Single-field Slow-roll Inflation

Inflation

- era of accelerated (exponential) expansion
- explains why CMB is nearly uniform



The Physics of Inflation

Standard cosmology assumes a homogeneous and isotropic Universe, described by the Friedmann-Robertson-Walker (FRW) metric:

$$\mathrm{d}s^2 = -\mathrm{d}t^2 + a^2(t) \left[\frac{\mathrm{d}r^2}{1 - \kappa r^2} + r^2 (\mathrm{d}\theta^2 + \sin^2\theta \,\mathrm{d}\varphi^2) \right]$$

Under this symmetry, the energy-momentum tensor takes the form of a perfect fluid:

$$T_{\mu\nu} = (\rho + P) u_{\mu} u_{\nu} + P g_{\mu\nu}$$

From Einstein's field equations, we obtain the Freedman equations:

$$H^2 = \frac{8\pi G}{3}\rho - \frac{K}{a^2}, \quad \frac{\ddot{a}}{a} = -\frac{4\pi G}{3}(\rho + 3P)$$

where $H \equiv \dot{a}/a$ is the Hubble parameter.

- ▶ Inflation is defined as a phase of accelerated expansion: $\ddot{a} > 0$, which requires the pressure to satisfy $P < -\rho/3$.
- ▶ The simplest case is when $P \simeq -\rho$, leading to an approximately exponential expansion:

$$a(t) = a_i e^{H_i(t-t_i)}$$

A scalar field provides a natural source of stress-energy to drive inflation. Its dynamics are governed by the Lagrangian:

$${\cal L}=-rac{1}{2}\partial^{\mu}arphi\,\partial_{\mu}arphi-{\sf V}(arphi)$$

Shaaban Khalil

Scalar Field as a Source of Inflation

 \blacktriangleright For a scalar field φ with Lagrangian

Shaaban Khalil

$$\mathcal{L} = -rac{1}{2}\partial^{\mu}\varphi\,\partial_{\mu}\varphi - V(\varphi),$$

the corresponding stress-energy tensor is:

$$T_{\mu
u} = -2rac{\partial \mathcal{L}}{\partial g^{\mu
u}} + g_{\mu
u}\mathcal{L} = \partial_{\mu}arphi \, \partial_{
u}arphi + g_{\mu
u} \left[-rac{1}{2} g^{lphaeta} \partial_{lpha}arphi \, \partial_{eta}arphi - V(arphi)
ight]$$

A homogeneous scalar field $\varphi(t)$ behaves like a perfect fluid with:

$$ho_{arphi}=rac{1}{2}\dot{arphi}^2+V(arphi),\quad P_{arphi}=rac{1}{2}\dot{arphi}^2-V(arphi)$$

Accelerated expansion requires $P < -\rho/3$, which is satisfied if:

$$V(arphi) > \dot{arphi}^2$$

This condition is naturally achieved in regions where the potential is sufficiently flat?i.e., when the scalar field evolves slowly (slow-roll inflation).



▶ In such a situation, we can consider $\ddot{\varphi} \ll 3H\dot{\varphi}$, and hence

$$H^2 \simeq rac{8\pi G}{3} V(arphi) \,, \qquad 3H \dot{arphi} + V_arphi = 0$$

The slow-roll condition is satisfied provided that

$$\begin{split} \frac{(V_{\varphi})^2}{V} \ll {\cal H}^2 & \implies \qquad \epsilon \equiv \frac{M_{\rm pl}^2}{2} \left(\frac{V_{\varphi}}{V}\right)^2 \ll 1\,, \\ V_{\varphi\varphi} \ll {\cal H}^2 & \implies \qquad \eta \equiv M_{\rm pl}^2 \, \frac{V_{\varphi\varphi}}{V} \ll 1\,, \end{split}$$

where ϵ and η are the so-called *slow-roll parameters*

- Successful inflation must last for a long enough period in order to solve the horizon and flatness problems.
- ▶ Typically, this feature is expressed in terms of the number of e-foldings, defined as:

$$N_{
m tot} \equiv \int_{t_{
m i}}^{t_{
m f}} H \, {
m d}t$$

where t_i and t_f are the starting and ending time of inflation, that, in case the scale-factor evolution is described by

$$N = \ln \left(a_{\rm f} / a_{\rm i} \right),$$

where $a_{\lambda} = a(t(\lambda))$. The lower bound required to solve the horizon problem number is $N \gtrsim \ln 10^{26} \sim 60$.

Perturbations

- The observed large-scale structures in the Universe and the anisotropies in the CMB originate from small primordial fluctuations. These fluctuations evolved during the radiation and matter-dominated eras.
- Standard cosmology does not explain the origin of these perturbations. However, quantum fluctuations of the inflaton field during inflation naturally generate them, providing a robust mechanism for structure formation.
- ▶ The perturbed energy-momentum tensor for a scalar field minimally coupled to gravity is:

$$\begin{split} \delta T_{\mu\nu} &= \partial_{\mu} \delta \varphi \, \partial_{\nu} \bar{\varphi} + \partial_{\mu} \bar{\varphi} \, \partial_{\nu} \delta \varphi - \delta g_{\mu\nu} \left(\frac{1}{2} g^{\alpha\beta} \partial_{\alpha} \bar{\varphi} \, \partial_{\beta} \bar{\varphi} + V(\bar{\varphi}) \right) \\ &- g_{\mu\nu} \left(\frac{1}{2} \delta g^{\alpha\beta} \partial_{\alpha} \bar{\varphi} \, \partial_{\beta} \bar{\varphi} + g^{\alpha\beta} \partial_{\alpha} \delta \varphi \, \partial_{\beta} \bar{\varphi} + \frac{\partial V}{\partial \varphi} \delta \varphi \right) \end{split}$$

where $\varphi = \bar{\varphi} + \delta \varphi$, with $\bar{\varphi}$ being the homogeneous background field and $\delta \varphi$ its perturbation.

▶ The equation of motion for the perturbed inflaton field is:

$$\delta\ddot{\varphi} + 3H\,\delta\dot{\varphi} + \frac{\partial^2 V}{\partial\varphi^2}\delta\varphi = 0$$

where $H = \dot{a}/a$ is the Hubble parameter.

▶ We work in the longitudinal (Newtonian) gauge, where the metric perturbations take the form:

$$g_{00} = -a^{2}(t) \left(1 + 2\psi^{(1)} + \psi^{(2)}\right)$$

$$g_{0i} = 0$$

$$g_{ij} = a^{2}(t) \left[(1 - 2\phi^{(1)} - \phi^{(2)})\delta_{ij} + \frac{1}{2} \left(\partial_{i}h_{j}^{(2)} + \partial_{j}h_{i}^{(2)} + h_{ij}^{(2)}\right) \right]$$

First order Perturbations

▶ Energy-Momentum at first order:

$$\begin{split} \delta^{(1)} T_0^0 &= \psi^{(1)} \dot{\varphi}^2 - \delta^{(1)} \dot{\varphi} \dot{\varphi} - \delta^{(1)} \varphi \frac{\partial V}{\partial \varphi} a^2 \\ \delta^{(1)} T_i^0 &= - \dot{\varphi} \partial^i \delta^{(1)} \varphi \\ \delta^{(1)} T_i^i &= - \psi^{(1)} \dot{\varphi}_0^2 + \delta^{(1)} \dot{\varphi} \dot{\varphi}_0 - \delta^{(1)} \varphi \frac{\partial V}{\partial \varphi} a^2 \\ \delta^{(1)} T_i^j &= 0 \end{split}$$

▶ Einstein's Tensor at first order:

$$\begin{split} \delta^{(1)}G_0^0 &= 6\left(\frac{\dot{a}}{a}\right)^2 \phi^{(1)} + 6\frac{\dot{a}}{a}\dot{\psi}^{(1)} - 2\partial_i\,\partial^i\psi^{(1)} \\ \delta^{(1)}G_i^0 &= -2\frac{\dot{a}}{a}\partial_i\phi^{(1)} - 2\,\partial_i\dot{\psi}^{(1)} \\ \delta^{(1)}G_i^i &= 2\frac{\dot{a}}{a}\dot{\phi}^{(1)} + 4\frac{\ddot{a}}{a}\phi^{(1)} - 2\left(\frac{\dot{a}}{a}\right)^2\phi^{(1)} + \partial_i\,\partial^i\phi^{(1)} + \\ & 4\frac{\dot{a}}{a}\dot{\psi}^{(1)} - \partial^i\partial_i\phi^{(1)} + \partial^i\partial_i\psi^{(1)} + 2\,\ddot{\psi}^{(1)} - \partial_i\,\partial^i\psi^{(1)} \\ \delta^{(1)}G_i^j &= -\partial^i\partial_j\phi^{(1)} + \partial^i\partial_j\psi^{(1)} \end{split}$$

 $\blacktriangleright~$ From last equation one finds: $\phi^{(1)}=\psi^{(1)},$ and

$$\left[\ddot{\psi}^{(1)} - 2\left(2\frac{\dot{a}}{a} + \frac{\ddot{a}}{a}\left(\frac{\dot{a}}{a}\right)^{-1}\right)\dot{\psi}^{(1)} + \nabla^2\psi^{(1)}\right] = \kappa^2\left(\delta^{(1)}\varphi \frac{\partial V}{\partial \varphi}a^2 + \dot{\varphi}_0\delta^{(1)}\varphi\left(\frac{\dot{a}}{a} + \frac{\ddot{a}}{a}\left(\frac{\dot{a}}{a}\right)^{-1}\right)\right)$$

Shaaban Khalil

- From these first-order perturbations of the Einstein and energy-momentum tensors, we derive a wave-like equation for the scalar metric perturbation $\psi^{(1)}$.
- This equation governs the evolution of scalar fluctuations in an expanding universe and is a cornerstone in understanding the generation of cosmic structure.
- The equation:

$$\left[\ddot{\psi}^{(1)} - 2\left(2\frac{\dot{a}}{a} + \frac{\ddot{a}}{a}\left(\frac{\dot{a}}{a}\right)^{-1}\right)\dot{\psi}^{(1)} + \nabla^2\psi^{(1)}\right] = \kappa^2\left(\delta^{(1)}\varphi\frac{\partial V}{\partial\varphi}a^2 + \dot{\varphi}_0\delta^{(1)}\varphi\left(\frac{\dot{a}}{a} + \frac{\ddot{a}}{a}\left(\frac{\dot{a}}{a}\right)^{-1}\right)\right)$$

shows that the metric perturbation $\psi^{(1)}$ is sourced by scalar field perturbations $\delta^{(1)}\varphi$, which encode quantum fluctuations during inflation.

- These fluctuations seed the curvature perturbations, which eventually give rise to the large-scale structure of the universe.
- ▶ The equality $\phi^{(1)} = \psi^{(1)}$, valid in the absence of anisotropic stress, simplifies the metric and reflects the scalar nature of inflationary perturbations.
- ► Through gauge-invariant variables such as the comoving curvature perturbation $(\mathcal{R} = \psi + \frac{H}{\phi_0}\delta\varphi)$, one can track the evolution of these fluctuations and relate them to cosmological observables, like the CMB power spectrum.

Energy-Momentum at second order:

$$\begin{split} \delta^{(2)} T_0^0 &= -\frac{1}{2} \, \delta^{(2)} \dot{\varphi} \, \dot{\varphi}_0 \, - \, \frac{1}{2} \, \delta^{(2)} \varphi \, \frac{\partial V}{\partial \varphi} \, \mathbf{a}^2 \, - \, \frac{1}{2} \, \left(\delta^{(1)} \dot{\varphi} \right)^2 \, - \, \frac{1}{2} \, \partial^i \delta^{(1)} \varphi \, \partial_i \, \delta^{(1)} \varphi \\ &- \, \frac{1}{2} \, \left(\delta^{(1)} \varphi \right)^2 \, \frac{\partial^2 V}{\partial \varphi^2} \, \mathbf{a}^2 \, - \, 2 \, \left(\psi^{(1)} \right)^2 \, \dot{\varphi}_0^2 \, + \, 2 \, \psi^{(1)} \, \delta^{(1)} \dot{\varphi} \, \dot{\varphi}_0 \\ \delta^{(2)} T_i^0 &= \, \frac{1}{2} \, \dot{\varphi}_0 \, \partial^i \, \delta^{(2)} \varphi \, + \, \partial^i \, \delta^{(1)} \varphi \, \delta^{(1)} \dot{\varphi} \, + \, 2 \, \dot{\varphi}_0 \, \psi^{(1)} \, \partial^i \, \delta^{(1)} \varphi \\ \delta^{(2)} T_i^i &= \, \frac{1}{2} \, \delta^{(2)} \dot{\varphi} \, \dot{\varphi}_0 \, - \, \frac{1}{2} \, \delta^{(2)} \varphi \, \frac{\partial V}{\partial \varphi} \, \mathbf{a}^2 \, + \, \frac{1}{2} \, \left(\delta^{(1)} \dot{\varphi} \right)^2 \, - \, \frac{1}{2} \, \partial_k \, \delta^{(1)} \varphi \, \partial^k \delta^{(1)} \varphi \\ &+ \, 2 \, \left(\psi^{(1)} \right)^2 \, \dot{\varphi}_0^- \, \frac{1}{2} \, \left(\delta^{(1)} \varphi \right)^2 \, \frac{\partial^2 V}{\partial \varphi^2} \, \mathbf{a}^2 \, - \, 2 \, \psi^{(1)} \, \delta^{(1)} \dot{\varphi} \, \dot{\varphi}_0 \\ \delta^{(2)} T_i^j &= \, \partial^i \delta^{(1)} \varphi \, \partial_j \delta^{(1)} \varphi \end{split}$$

Second order Perturbations

▶ Einstein's tensor at second order:

$$\begin{split} \delta^{(2)} G_0^0 = & 3\frac{\dot{a}}{a} \dot{\psi}^{(2)} - \partial_i \partial^i \psi^{(2)} + \left(\frac{\dot{a}}{a}\right)^2 \phi^{(2)} + \frac{\ddot{a}}{a} \phi^{(2)} - 12 \left(\frac{\dot{a}}{a}\right)^2 \left(\psi^{(1)}\right)^2 \\ & - 3 \left(\dot{\psi}^{(1)}\right)^2 - 8 \psi^{(1)} \partial_i \partial^i \psi^{(1)} - 3 \partial_i \psi^{(1)} \partial^i \psi^{(1)} \\ \delta^{(2)} G_i^0 = & \partial^i \dot{\psi}^{(2)} + \frac{\dot{a}}{a} \partial^i \phi^{(2)} + \frac{1}{4} \partial_k \partial^k \left(\dot{h}^{i(2)}\right) + 2 \dot{\psi}^{(1)} \partial^i \psi^{(1)} + 8 \psi^{(1)} \partial^i \dot{\psi}^{(1)} \\ \delta^{(2)} G_i^i = & \frac{1}{2} \partial_k \partial^k \phi^{(2)} + \frac{\dot{a}}{a} \dot{\phi}^{(2)} + \frac{\ddot{a}}{a} \phi^{(2)} + \left(\frac{\dot{a}}{a}\right)^2 \phi^{(2)} - \frac{1}{2} \partial_k \partial^k \psi^{(2)} + 2 \frac{\dot{a}}{a} \dot{\psi}^{(2)} \\ & + \dot{\psi}^{(2)} - 8 \frac{\ddot{a}}{a} \left(\psi^{(1)}\right)^2 + 4 \left(\frac{\dot{a}}{a}\right)^2 \left(\psi^{(1)}\right)^2 - 8 \frac{\dot{a}}{a} \psi^{(1)} \dot{\psi}^{(1)} \\ & - 3 \partial_k \psi^{(1)} \partial^k \psi^{(1)} - 4 \psi^{(1)} \partial_k \partial^k \psi^{(1)} - \left(\dot{\psi}^{(1)}\right)^2 - \frac{1}{2} \partial^i \partial_i \phi^{(2)} + \frac{1}{2} \partial^i \partial_i \psi^{(2)} \\ & + \frac{1}{2} \frac{\dot{a}}{a} \left(\partial_i \dot{h}^{i(2)} + \partial^i \dot{h}^{(2)}_i + \dot{h}^{i(2)}_i\right) + \frac{1}{4} \left(\partial_i \ddot{h}^{i(2)} + \partial^i \ddot{h}^{(2)}_i + \ddot{h}^{i(2)}_i\right) \\ & - \frac{1}{4} \partial_k \partial^k h^{i^{(2)}}_i + 2 \partial^i \partial_i \psi^{(2)} + \frac{1}{2} \frac{\dot{a}}{a} \left(\partial_i \dot{h}^{i(2)} + \partial^i \dot{h}^{i(2)}_j + \dot{h}^{i^{(2)}}_i\right) \\ & + \frac{1}{4} \left(\partial_i \ddot{h}^{i(2)} + \partial^i \ddot{h}^{i(2)}_j + \ddot{h}^{i^{(2)}}_i\right) - \frac{1}{4} \partial_k \partial^k h^{i^{(2)}}_j + 2 \partial^i \psi^{(1)} \partial_i \psi^{(1)} \\ & + 4 \psi^{(1)} \partial^i \partial_j \psi^{(1)} \end{split}$$

Gravitational Waves from Inflation

- Tensor perturbations h_{ij} represent gravitational waves, transverse, traceless fluctuations in the metric, and encapsulate two physical degrees of freedom.
- To isolate these physical tensor modes from a generic rank-2 tensor, we apply the transverse-traceless (TT) projection operator:

$$\hat{\mathcal{T}}_{ij}^{\ \ lm} = \Pi_l^i \Pi_m^j - \frac{1}{2} \Pi_{ij} \Pi^{lm}, \qquad \Pi_{ij} = \delta_{ij} - \frac{\partial_i \partial_j}{\Delta}$$

ensuring $\partial^i h_{ij} = 0$ and $h_i^i = 0$.

Applying this operator to the second-order Einstein equations projects out the tensor dynamics:

▶ The evolution equation for GWs in Fourier space becomes:

$$h_{ij}{}^{\prime\prime}+2\mathcal{H}h_{ij}{}^{\prime}+k^2h_{ij}=4\hat{\mathcal{T}}_{ij}{}^{lm}S_{lm}$$

where $\mathcal{H} = a'/a$ is the conformal Hubble parameter, and the left-hand side describes wave propagation with Hubble friction.

▶ The source term S_{lm} at second order arises from first-order scalar perturbations:

$$S_{lm} = -2\partial_l \psi^{(1)} \partial_m \psi^{(1)} - 4\psi^{(1)} \partial_l \partial_m \psi^{(1)} + rac{1}{M_{
m Pl}^2} \partial_l \delta \varphi^{(1)} \partial_m \delta \varphi^{(1)}$$

▶ These scalar sources include contributions from the gravitational potential $\psi^{(1)}$ and inflaton perturbations $\delta \varphi^{(1)}$, generating gravitational waves through anisotropic stress at second order.

Gravitational Waves Sourced by Scalar Fluctuations

From the time-space component of Einstein's equations, we obtain:

$$egin{aligned} & rac{ar arphi'}{\mathcal{H}} \delta arphi &= 2 M_{
m Pl}^2 \left(\psi^{(1)} + rac{\psi^{(1)\prime}}{\mathcal{H}}
ight) \ \Rightarrow & \sqrt{2\epsilon} \, \delta arphi &= 2 M_{
m Pl} \left(\psi^{(1)} + rac{\psi^{(1)\prime}}{\mathcal{H}}
ight), \end{aligned}$$

where we used $\epsilon = -\frac{\dot{H}}{H^2}$ and $H^2 = \frac{V(\bar{\varphi})}{3M_{Pl}^2} \implies \frac{|\bar{\varphi}'|}{\mathcal{H}} = \sqrt{2\epsilon} M_{\rm Pl}.$

- During inflation ($\epsilon \ll 1$), the dominant contribution to the source term becomes:

$$S_{lm}\simeq rac{1}{M_{
m Pl}^2}\,\partial_l\deltaarphi\,\partial_m\deltaarphi$$

Tensor perturbations are expanded as:

$$h_{ij}(\mathbf{x}) = \sum_{\lambda=+,\times} \int \frac{d^3k}{(2\pi)^3} e_{ij}^{\lambda}(\hat{\mathbf{k}}) h_{\mathbf{k}}^{\lambda} e^{i\mathbf{k}\cdot\mathbf{x}}$$

where $\hat{\mathbf{k}}=\mathbf{k}/|\mathbf{k}|,$ and the polarization tensors satisfy:

$$\hat{k}^i e_{ij}^{\lambda} = 0, \quad e^{\lambda} {}^i_i = 0, \quad e^{\lambda}_{ij} e^{\lambda' ij} = \delta^{\lambda\lambda'}$$

The equation of motion for each polarization mode becomes:

$$h_{\mathbf{k}}^{\lambda \prime \prime} + 2\mathcal{H}h_{\mathbf{k}}^{\lambda \prime} + k^{2}h_{\mathbf{k}}^{\lambda} = 4S_{\mathbf{k}}^{\lambda}$$

where the source term is:

$$\mathcal{S}^{\lambda}_{\mathbf{k}} \simeq rac{1}{M_{
m Pl}^2} \int rac{d^3 p}{(2\pi)^3} \, \epsilon^{\lambda}_{ij}(\hat{\mathbf{k}}) \, p^i \rho^j \, \delta arphi_{\mathbf{p}} \, \delta arphi_{\mathbf{k}-\mathbf{p}}$$

Shaaban Khalil

Power Spectrum of Gravitational Waves

 \blacktriangleright The inflaton perturbation $\delta\phi$ can be expanded in terms of creation and annihilation operators as:

$$\begin{split} \delta\phi(\mathbf{x},\eta) &= \int \frac{d^3k}{(2\pi)^3} e^{i\mathbf{k}\cdot\mathbf{x}} \delta\phi_{\mathbf{k}}(\eta) \\ &= \int \frac{d^3k}{(2\pi)^3} e^{i\mathbf{k}\cdot\mathbf{x}} \left[U(k,\eta) \, \mathbf{a}(\mathbf{k}) + U^*(k,\eta) \, \mathbf{a}^{\dagger}(-\mathbf{k}) \right]. \end{split}$$

The power spectrum of tensor perturbations is defined via the two-point correlation function:

$$\langle h_{\mathbf{k}}^{\lambda}(\eta) h_{\mathbf{k}'}^{\lambda'}(\eta)
angle = (2\pi)^3 \delta^{\lambda\lambda'} \delta(\mathbf{k} + \mathbf{k}') rac{2\pi^2}{k^3} \mathcal{P}_h(k,\eta).$$

Solving the tensor perturbation equation yields:

$$h_{\mathbf{k}}^{\lambda}(\eta) = 4 \int_{-\infty}^{\eta} d\eta' g_{k}(\eta;\eta') S_{\mathbf{k}}^{\lambda}(\eta'),$$

where $g_k(\eta; \eta')$ (in conformal time η) is the Green's function satisfying:

$$g_k^{\prime\prime}+2\mathcal{H}g_k^{\prime}+k^2g_k=\delta(\eta-\eta^{\prime}).$$

▶ The Green's function explicit solution is given by

$$g_k(\eta;\eta') = \frac{1}{k} \frac{1}{\eta\eta'} \sin \left[k(\eta-\eta')\right] \Theta(\eta-\eta'),$$

Tensor Power Spectrum from Scalar Sources

▶ The power spectrum of tensor perturbations sourced at second order by scalar modes is given by:

$$\langle h_{\mathbf{k}}^{\lambda}(\eta) h_{\mathbf{k}'}^{\lambda'}(\eta) \rangle = 16 \int_{-\infty}^{\eta} d\eta_1 \int_{-\infty}^{\eta} d\eta_2 g_k(\eta;\eta_1) g_{k'}(\eta;\eta_2) \langle \mathcal{S}_{\mathbf{k}}^{\lambda}(\eta_1) \mathcal{S}_{\mathbf{k}'}^{\lambda'}(\eta_2) \rangle.$$

▶ The two-point function of source terms is

$$\begin{split} \langle \mathcal{S}^{\lambda}_{\mathbf{k}}(\eta_1) \mathcal{S}^{\lambda'}_{\mathbf{k}'}(\eta_2) \rangle &= \frac{1}{M_{\mathrm{Pl}}^4} \int \frac{d^3 p}{(2\pi)^3} \frac{d^3 p'}{(2\pi)^3} e^{\lambda}_{ij}(\hat{k}) p^i p^j e^{\lambda'}_{mn}(\hat{k}') p'^m p'^n \\ &\times \langle \delta \phi_{\mathbf{p}}(\eta_1) \delta \phi_{\mathbf{k}-\mathbf{p}}(\eta_1) \delta \phi_{\mathbf{p}'}(\eta_2) \delta \phi_{\mathbf{k}'-\mathbf{p}'}(\eta_2) \rangle. \end{split}$$

▶ The source correlator is expressed as:

$$\begin{split} \langle \mathcal{S}_{\mathbf{k}}^{\lambda}(\eta_{1})\mathcal{S}_{\mathbf{k}'}^{\lambda'}(\eta_{2})\rangle &= (2\pi)^{3}\delta(\mathbf{k}+\mathbf{k}')\delta^{\lambda\lambda'}\frac{2\pi^{2}}{\mathbf{k}^{3}}\frac{\mathbf{k}^{4}}{4\mathbf{M}_{\mathrm{Pl}}^{4}}\\ &\times \int_{0}^{\infty}dv\int_{|1-v|}^{|1+v|}du\left[\frac{4v^{2}-(1+v^{2}-u^{2})^{2}}{4uv}\right]^{2}\\ &\times f(uk,vk,\eta_{1})f^{*}(uk,vk,\eta_{2})\mathcal{P}_{\delta\phi}(uk)\mathcal{P}_{\delta\phi}(vk), \end{split}$$

with $u \equiv |\mathbf{k} - \mathbf{p}|/k$ and $v \equiv p/k$.

The power spectrum of the induced gravitational waves can be written as

$$\mathcal{P}_{h}(k,\eta) = \frac{4}{M_{\rm Pl}^{4}} \int_{0}^{\infty} dv \int_{|1-v|}^{1+v} du \left[\frac{4v^{2} - (1+v^{2}-u^{2})^{2}}{4uv} \right]^{2} |I(u,v,k,\eta)|^{2} \mathcal{P}_{\delta\phi}(uk) \mathcal{P}_{\delta\phi}(vk),$$

where

$$I(u,v,k,\eta) \equiv k^2 \int_{-\infty}^{\eta} d\bar{\eta} g_k(\eta;\bar{\eta}) T(uk,\bar{\eta}) T(vk,\bar{\eta}).$$

- ▶ This expression captures the sourcing of second-order tensor modes h_{ij} by first-order scalar perturbations $\delta\phi$, and is ultimately determined by the scalar power spectrum $\mathcal{P}_{\delta\phi}(k)$.
- ▶ The following plot shows a numerical estimate of $\mathcal{P}_h(k)$ assuming a scale-invariant scalar spectrum and $|l|^2 \simeq 1$ (for illustrative purposes):



Is a Small $\mathcal{P}_h(k)$ Natural?

- A small tensor power spectrum $\mathcal{P}_h(k) \sim 10^{-90}$ is **natural** in the standard setup of cosmology, provided that:
 - The gravitational waves are sourced at second order from first-order scalar perturbations;
 - The scalar power spectrum ${\cal P}_{\delta\phi}(k)$ is close to the observed value $\sim 10^{-9}$;
 - There is no additional enhancement mechanism, such as resonance or sharp peaks in $\mathcal{P}_{\delta\phi}(k)$;
 - The background is a smooth, slow-roll inflationary expansion.
- In this case, the gravitational wave production is highly suppressed, and the result P_h(k) ≪ 1 simply reflects the weak sourcing of tensor modes by typical scalar perturbations in slow-roll inflation.
- **•** There are scenarios where the amplitude of $\mathcal{P}_h(k)$ can be significantly enhanced:
 - If the scalar power spectrum P_{δφ}(k) is amplified at small scales (e.g., in models with sharp features or ultra-slow-roll inflation);
 - In scenarios where **primordial black holes (PBHs)** are produced, requiring enhanced scalar fluctuations ? which in turn source observable GWs;
 - Through non-standard mechanisms like preheating, resonant amplification, or spectator fields;
 - If first-order tensor perturbations from inflation are large enough (i.e., large tensor-to-scalar ratio r), they may be detectable by CMB B-mode experiments.

Gravitational Wave Production

▶ The present-day energy density of gravitational waves is given by:

$$\Omega_{\rm GW}(k) = rac{1}{24} \left(rac{k}{aH}
ight)^2 \overline{\mathcal{P}_h(\tau,k)},$$

where the overline denotes the time average.

▶ For induced gravitational waves sourced by scalar perturbations, we have:

$$\Omega_{\rm GW}(k) = \frac{c_g \,\Omega_r}{36} \int_0^{1/\sqrt{3}} \mathrm{d}d \int_{1/\sqrt{3}}^\infty \mathrm{d}s \left[\frac{(s^2 - 1/3)(d^2 - 1/3)}{s^2 + d^2} \right]^2 \mathcal{P}_{\mathcal{R}}(kx) \,\mathcal{P}_{\mathcal{R}}(ky) \, (l_c^2 + l_s^2),$$
where $\Omega_r = 5.4 \times 10^{-5}$ and $c_g = 0.4$.

- ▶ $\mathcal{P}_{\mathcal{R}}(k_*) \simeq 2.1 \times 10^{-9}$, at $k_* = 0.05 \,\mathrm{Mpc}^{-1}$. In scenarios with enhanced small-scale perturbations (e.g., PBH formation), $\mathcal{P}_{\mathcal{R}}$ can reach much larger values: $\mathcal{P}_{\mathcal{R}}(k_{\mathrm{peak}}) \sim 10^{-2} 10^{-1}$.
- The predicted GW spectra for these parameter choices lie within the sensitivity ranges of future GW detectors such as LISA, DECIGO, BBO, SKA, and ET.
- The recently reported NANOGrav signal may be interpreted within this model, as shown by the purple lines in the plot.



- We consider a non-instantaneous reheating scenario in which the inflaton decays exclusively into right-handed neutrinos (RHNs).
- The subsequent decay of RHNs into Standard Model particles not only reheats the Universe but also generates the observed baryon asymmetry via leptogenesis.
- During this phase, gravitational waves (GWs) are produced through two primary channels: bremsstrahlung radiation during inflaton decay and inflaton scattering processes.
- GW production from bremsstrahlung becomes dominant toward the end of reheating, whereas scatteringinduced GWs are more prominent around the maximum temperature of the Universe.
- The resulting GW spectrum from both processes lies within the sensitivity range of proposed resonant cavity experiments.

Inflaton Graviton Interactions During Reheating

\blacktriangleright The interaction between the inflaton field ϕ and gravity is described by the action:

$$S = \int d^4 x \sqrt{|g|} \left[\frac{M_P^2}{2} R + \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi) \right],$$

where $V(\phi)$ denotes the inflaton potential.

In the weak-field limit, the metric is expanded as:

$$\begin{split} g_{\mu\nu} &= \eta_{\mu\nu} + \kappa h_{\mu\nu}, \\ g^{\mu\nu} &= \eta^{\mu\nu} - \kappa h^{\mu\nu} + \kappa^2 h^{\mu\alpha} h_{\alpha}^{\nu}, \\ \sqrt{|g|} &= 1 + \frac{\kappa}{2} h + \frac{\kappa^2}{8} (h^2 - 2h_{\alpha}^{\mu} h_{\mu}^{\alpha}), \end{split}$$

with $\kappa = \sqrt{2}/M_P$.

▶ The leading inflatongraviton interaction term is:

$$\mathcal{L}_{\mathrm{int}} \supset -\kappa \, h_{\mu
u} \, T^{\mu
u}_{\phi},$$

where the inflaton energy-momentum tensor is:

$$T^{\mu
u}_{\phi} = \partial^{\mu}\phi\partial^{
u}\phi - g^{\mu
u}\left[rac{1}{2}(\partial\phi)^2 - V(\phi)
ight].$$

During reheating, the inflaton oscillates around a generic potential:

$$V(\phi) = \lambda M_P^4 \left(\frac{\phi}{M_P}\right)^n.$$

Gravitational Waves from Inflaton Decay

- Gravitational waves (GWs) can be sourced during reheating via the decay of the inflaton field φ into matter fields, accompanied by graviton emission.
- We consider generic interactions of the inflaton with a complex scalar χ , Dirac fermion ψ , and massive vector boson V_{μ} :

$$\mathcal{L}_{\mathrm{int}} \supset -\mu \phi |\chi|^2 - y_{\psi} \bar{\psi} \psi \phi - g_V V_{\mu} V^{\mu} \phi.$$

After inflation ends, the inflaton oscillates around the minimum of its potential and decays into particlese.g., heavy right-handed neutrinos (RHNs)with possible graviton emission:

$$\phi \rightarrow N\bar{N}, \phi \rightarrow hN\bar{N}.$$

The emitted gravitons contribute to a stochastic gravitational wave background, encoding information about inflaton interactions and reheating dynamics.



Inflaton Decay into RHNs and GW Emission

▶ The tree-level decay rate of the inflaton into RHNs is:

$$\Gamma^{(0)}=rac{M}{8\pi}\left(rac{y_{\phi}}{\sqrt{2}}
ight)^2\left(1-rac{4m_N^2}{M^2}
ight)^{3/2},$$

where M is the inflaton mass, m_N is the RHN mass, and y_{ϕ} is the Yukawa coupling.

 \blacktriangleright The differential rate for graviton emission in the 3-body decay $\phi \rightarrow h \, N \bar{N}$ is:

$$\frac{d\Gamma^{(1)}}{dE_h} = \frac{y_{\phi}^2}{32\pi^3 M_P^2} \cdot \frac{E_h^3}{M^2} \cdot \left(1 - \frac{4m_N^2}{(M-E_h)^2}\right)^{1/2} \cdot \left(1 - \frac{2E_h}{M}\right)^2,$$

valid for $0 < E_h < M/2 - m_N$.

The energy densities evolve as:

$$\dot{\rho}_{\phi} + 3H\rho_{\phi} = -(\Gamma^{(0)} + \Gamma^{(1)})\rho_{\phi},$$

$$\dot{\rho}_{N} + 3H\rho_{N} = \Gamma^{(0)}\rho_{\phi} + \int \frac{d\Gamma^{(1)}}{dE_{h}} \left(\frac{M - E_{h}}{M}\right)\rho_{\phi} dE_{h} - \Gamma_{N}\rho_{N},$$

$$\dot{\rho}_{R} + 4H\rho_{R} = \Gamma_{N}\rho_{N},$$

$$\dot{\rho}_{GW} + 4H\rho_{GW} = \int \frac{d\Gamma^{(1)}}{dE_{h}} \left(\frac{E_{h}}{M}\right)\rho_{\phi} dE_{h},$$

with $H = \frac{\rho_{\phi} + \rho_N + \rho_R + \rho_{GW}}{3M_P^2}$.

One can write the last Boltzmann equation as:

$$\frac{d(\rho_{GW}/\rho_R)}{da} \simeq \frac{1}{aH} \frac{\rho_{\phi}}{\rho_R} \left[\int \frac{d\Gamma^{(1)}}{dE_w} \frac{E_w}{M} dE_w - \frac{\rho_{GW}}{\rho_R} \Gamma^{(0)} \right]$$

This expression can be integrated during reheating, *i.e.*, $a_{\max} \leq a \leq a_{rh}$, corresponding to photon temperature $T_{\max} \geq T \geq T_{rh}$.

- ▶ During reheating $\rho_{\phi}(a) = \rho_{\phi}(a_{rh}) \left(\frac{a_{rh}}{a}\right)^3$, and T_{rh} corresponds to $\rho_R(T_rh) = \rho_{\phi}(T_{rh})$.
- ▶ Assuming that at the beginning of the reheating, no SM radiation or GWs and at the end of the reheating $\Gamma^{(0)} \simeq H(T_{rh})$. Thus, one finds for $y \to 0$ that

$$rac{
ho_{GW}(T_{rh})}{
ho_R(T_{rh})}\simeq rac{1}{96}rac{M^2}{\pi^2 M_
ho^2}\left[1-(rac{T_{rh}}{T_{\max}})^{8/3}
ight].$$

▶ The primordial GW spectrum at present $\Omega_{GW}(f)$ for a frequency f is defined by

$$\begin{split} \Omega_{GW}(f) &= \frac{1}{\rho_c} \frac{d\rho_{GW}}{d\ln f} = \Omega_{\gamma}^{(0)} \frac{d(\rho_{GW}/\rho_R)}{d\ln f} = \Omega_{\gamma}^{(0)} \frac{g_*(T_{th})}{g_*(T_0)} \left[\frac{g_{*s}(T_0)}{g_{*s}(T_{th})} \right]^{4/3} \frac{d(\rho_{GW}(T_{th})/\rho_R(T_{th}))}{d\ln E_w} \\ \Rightarrow \qquad \Omega_{GW}(f) \simeq 1.4 \times 10^{-8} \frac{T_{th}}{5.5 \times 10^{15} \text{GeV}} \frac{M}{M_P} \frac{f}{10^{12} \text{Hz}}. \end{split}$$

GW Production During Reheating

- Gravitational waves can also be produced from inflaton self-scattering during reheating.
- A quartic interaction $hh\phi\phi$ arises at second order, with coupling κ^2 , leading to $\phi\phi \rightarrow hh$ processes.
- These processes are dominant at the onset of reheating and are proportional to the square of the inflaton energy density.
- They depend only on gravitational couplings and not on inflaton decay channels.
- ▶ All four contributing Feynman diagrams enter the matrix element $\mathcal{M}(\phi\phi \rightarrow h_{\mu\nu}h_{\mu\nu})$ at $\mathcal{O}(k^2)$.
- The GW production rate:

$$\Gamma_h = \frac{\rho_\phi}{m_\phi} \cdot \frac{\sum_{\mathsf{pol}} |\mathcal{M}|^2}{32\pi m_\phi^2}$$



GW Spectrum from Inflaton Condensate

The Boltzmann equations governing GW production from inflaton scattering are:

$$\begin{split} \dot{\rho}_{GW} + 4H\rho_{GW} &= \Gamma_h \rho_\phi, \\ \dot{\rho}_\phi + 3H\rho_\phi &= -\Gamma_\phi \rho_\phi, \\ \dot{\rho}_R + 4H\rho_R &= \Gamma_\phi \rho_\phi. \end{split}$$

Solving yields:

$$\rho_{GW} = \frac{\sqrt{3} \alpha^{4/3} m_{\phi} \rho_{\rm end}^{1/6} T_{RH}^{16/3}}{16 \pi M_P^3},$$

with ρ_{end} : inflaton energy density at $a = a_{end}$, and $\alpha = \frac{g_{RH}\pi^2}{30}$.

► The GW energy density today is: $\Omega_{GW}h^2 = \left(\frac{d\rho_{GW}}{d\ln f}\right)/(\rho_{c,0}h^{-2})$, and GW frequency today from scale factor *a*: $2\pi f = m_{\phi}(a/a_0)$.



Example spectrum from inflaton annihilation for $m_{\phi} = 3 \times 10^{13}$ GeV and $\rho_{\text{end}} = (5.5 \times 10^{15} \text{ GeV})^4$. Shaaban Khalil GWs from Inflation

- Gravitational wave (GW) production provides a powerful probe of inflationary dynamics and the early Universe.
- ▶ We discussed two primary mechanisms for GW production:
 - Inflationary Fluctuations: Quantum fluctuations during inflation generate a background of GWs, which encode the properties of the inflationary phase.
 - Reheating Processes: After inflation, GW production occurs via:
 - Graviton Bremsstrahlung during inflaton decay, sensitive to couplings with matter fields.
 - Inflaton scattering/annihilation, purely gravitational, independent of decay channels.
- Both mechanisms contribute to a high-frequency stochastic GW background, potentially detectable by future observatories.
- The GW spectra carry valuable information on:
 - The properties of inflation (potential, scale, and dynamics)
 - Reheating temperature and the inflaton mass
 - Couplings to other fields
- Current detectors are not sensitive to the high-frequency GWs from these processes, but upcoming advancements may allow detection.
- Outlook: Gravitational wave cosmology offers a complementary and novel probe of the early Universe, shedding light on inflation, reheating, and physics beyond the Standard Model.